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PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

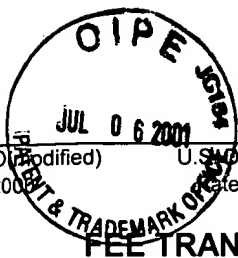
<b>TRANSMITTAL FORM</b> <small>(to be used for all correspondence during pendency of filed application)</small>	<b>0001/PTO</b> <b>Rev. 10/95</b>	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Application Number</b>	<b>09/833,528</b>	
			<b>Filing Date</b>	<b>April 11, 2001</b>	
			<b>First Named Inventor</b>	<b>Jing W. Jiang, et al.</b>	
			<b>Group Art Unit Number</b>	<b>2633</b>	
			<b>Examiner Name</b>	<b>Unassigned</b>	
<b>Total Number of Pages in This Submission</b>			<b>19</b>	<b>Attorney Docket Number</b>	<b>21660-05933</b>

<b>ENCLOSURES (check all that apply)</b>	
<div style="display: flex; flex-direction: column; gap: 5px;"><div><input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)<div style="margin-left: 20px;"><input checked="" type="checkbox"/> Check Enclosed</div></div><div><input checked="" type="checkbox"/> Return Receipt Postcard</div><div><input checked="" type="checkbox"/> Response to Notice to File Missing Parts</div><div><input checked="" type="checkbox"/> Copy of Notice to File Missing Parts</div><div><input checked="" type="checkbox"/> Declaration</div><div><input checked="" type="checkbox"/> Power of Attorney</div><div><input checked="" type="checkbox"/> Application Data Sheet</div><div><input type="checkbox"/> Information Disclosure Statement &amp; PTO-1449<div style="margin-left: 20px;"><input type="checkbox"/> Copies of IDS Cited References</div></div><div><input type="checkbox"/> Request for Corrected Filing Receipt</div><div><input type="checkbox"/> Request for Correction of Recorded Assignment</div><div><input checked="" type="checkbox"/> Preliminary Amendment: 9 Page(s)<div style="margin-left: 20px;"><input type="checkbox"/> After Final</div></div><div><input type="checkbox"/> Status Request</div><div><input type="checkbox"/> Revocation and Substitute Power of Attorney</div></div>	<div style="display: flex; flex-direction: column; gap: 5px;"><div><input type="checkbox"/> Issue Fee Transmittal</div><div><input type="checkbox"/> Letter to Chief Draftsperson</div><div><input type="checkbox"/> Formal Drawing(s):<div style="margin-left: 20px;">[ ] Sheet(s) of Figure(s) [ ]</div></div><div><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences</div><div><input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i></div><div><input type="checkbox"/> Certified Copy of Priority Document(s)</div><div><input type="checkbox"/> After Allowance Communication to Group</div><div><input type="checkbox"/></div><div><input type="checkbox"/></div><div><input type="checkbox"/></div><div><input type="checkbox"/></div><div><input type="checkbox"/></div><div><input type="checkbox"/></div></div>

**REMARKS:**

<b>SIGNATURE OF ATTORNEY OR AGENT</b>			
<b>Signature:</b>			
<b>Attorney/Reg. No.:</b>	<b>Glen Choi, Reg. No. 43,546</b>	<b>Dated:</b>	<b>July 3, 2001</b>

<b>CERTIFICATE OF MAILING</b>			
<small>I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.</small>			
<b>Signature:</b>			
<b>Typed or Printed Name:</b>	<b>Glen Choi</b>	<b>Dated:</b>	<b>July 3, 201</b>
<b>Express Mail Mailing Number (optional):</b>			



0002/PTO (modified) U.S. Department of Commerce  
Rev. 10/2000 Patent and Trademark Office

### FEE TRANSMITTAL

#### TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$)**238.00**

#### Complete if Known

Application Number	09/833,528
Filing Date	April 11, 2001
First Named Inventor	Jing W. Jiang, et al.
Group Art Unit	2633
Examiner Name	Unassigned
Attorney Docket Number	21660-05933

#### METHOD OF PAYMENT

##### 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup>
- ☐ Applicant claims small entity status  
See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

##### 2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Other

#### FEE CALCULATION (fees effective 10/01/2000)

##### 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$710	201/\$355	Utility Filing	
106/\$320	206/\$160	Design Filing	
108/\$710	208/\$355	Reissue	
114/\$150	214/\$75	Provisional Filing	

SUBTOTAL (1) (\$)**0**

##### 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$80	202/\$40	Independent claims in excess of 3
104/\$270	204/\$135	Multiple dependent claim
109/\$80	209/\$40	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

##### 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	<b>130</b>
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	
116/\$390	216/\$195	Extension for response within second month <sup>†</sup>	
117/\$890	217/\$445	Extension for response within third month <sup>†</sup>	
118/\$1,390	218/\$695	Extension for response within fourth month <sup>†</sup>	
128/\$1,890	228/\$945	Extension for response within fifth month <sup>†</sup>	
119/\$310	219/\$155	Notice of Appeal	
141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application	
142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)	
143/\$440	243/\$220	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$710	279/\$355	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify):

Other fee (specify):

SUBTOTAL (3) (\$)**130**

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		
TOTAL	26	minus*	20 or 0	=	6	x	18	108
INDEP	3	minus*	3 or 0	=	0	x	0	
[ ] First presentation of multiple dependent claim								

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$)**108.00**

#### SUBMITTED BY

Typed or Printed Name **Glen Choi**

Signature

#### Complete (if applicable)

Reg. Number **43,546**

Date

**July 3, 2001**

#3



## IN THE UNITED STATES

## PATENT AND TRADEMARK OFFICE

APPLICANTS: Jing W. Jiang *et al.*

APPLICATION NO.: 09/833,528

FILING DATE: April 11, 2001

TITLE: ADAPTIVE BIT RATE TRANSPONDER

EXAMINER: UNASSIGNED


GROUP ART UNIT: 2633

ATTY. DKT. NO.: 21660-05933

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: July 3, 2001

By:   
Glen B. Choi, Reg. No. 43,546

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION

SIR:

Responsive to Notice to File Missing Parts dated June 5, 2001 received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ an original, signed Power of Attorney or Authorization of Agent;
- ☒ an Application Data Sheet;
- ☒ Preliminary Amendment;

☒ payment in the amount of \$238.00 for the

☐ application filing fee;

☒ fee for additional claims; and

☒ missing parts surcharge.


☐ Other

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,

JING W. JIANG *et al.*

Dated: July 3, 2001

By: 

Glen B. Choi, Reg. No. 43,546

Attorney for Applicants

Fenwick & West LLP

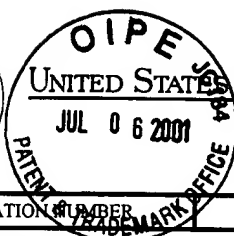
Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (415) 875-2301

Fax: (415) 281-1350

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 06 2001

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,528	04/11/2001	Jing W. Jiang	21660-05933

 00758  
 FENWICK & WEST LLP  
 TWO PALO ALTO SQUARE  
 PALO ALTO, CA 94306

CONFIRMATION NO. 2486

## FORMALITIES LETTER



\*OC00000006149241\*

Date Mailed: 06/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/10/2001 AZERGAW1 00000145 09833528

 01 FC:105 130.00 DP  
 02 FC:103 108.00 DP